

Docket No. 282101US0PCT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ulrike HEES, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP04/06298

GAU:

FILED: Herewith

EXAMINER:

FOR: METHOD FOR TREATING PARTICULATE PIGMENTS

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

## REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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New U.S. PCT Application Based on PCT/EP04/06298  
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STATEMENT OF RELEVANCY

- 1) References AA-AC, AO-AQ have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AD, AR-AZ are discussed in the specification. A copy of these references is being submitted here with.
- 4) References \_\_\_\_\_ are additional prior art known to Applicant. A copy of these references is being submitted herewith.

|  |    |   |          |   |                       |  |                               |
|--|----|---|----------|---|-----------------------|--|-------------------------------|
| Form PTO 1449<br>(Modified)  |    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE  |          | ATTY DOCKET NO.<br>282101US0PCT                             |                       | SERIAL NO.<br>10/562466  |                               |
| LIST OF REFERENCES CITED BY APPLICANT  |    |   |          | APPLICANT<br>Ulrike HEES, et al.                            |                       |  |                               |
|  |    |   |          | FILING DATE<br>Herewith                                     |                       | GROUP  |                               |
|  |    |   |          |   |                       |  |                               |
| U.S. PATENT DOCUMENTS  |    |   |          |   |                       |  |                               |
| EXAMINER<br>INITIAL  | AA | DOCUMENT<br>NUMBER  | DATE     | NAME  | CLASS                 | SUB<br>CLASS   | FILING DATE<br>IF APPROPRIATE |
|  | AA | 3 133 893   | 05/19/64 | NEWMAN  |                       |  |                               |
|  | AB | 4 608 401   | 08/26/86 | MARTIN  |                       |  |                               |
|  | AC | 6 569 231   | 05/27/03 | MATHIAS et al.  |                       |  |                               |
|  | AD | 3 544 500   | 12/01/70 | OSMOND et al.   |                       |  |                               |
|  | AE |   |          |   |                       |  |                               |
|  | AF |   |          |   |                       |  |                               |
|  | AG |   |          |   |                       |  |                               |
|  | AH |   |          |   |                       |  |                               |
|  | AI |   |          |   |                       |  |                               |
|  | AJ |   |          |   |                       |  |                               |
|  | AK |   |          |   |                       |  |                               |
|  | AL |   |          |   |                       |  |                               |
|  | AM |   |          |   |                       |  |                               |
|  | AN |   |          |   |                       |  |                               |
| FOREIGN PATENT DOCUMENTS   |    |   |          |   |                       |  |                               |
|  |    | DOCUMENT<br>NUMBER  | DATE     | COUNTRY   | TRANSLATION<br>YES NO |  |                               |
|  | AO | 99/01516  | 01/14/99 | WO (with English abstract & US 6332943)                     |                       |  | NO                            |
|  | AP | 1 245 653   | 10/02/02 | EP (equivalent of US 2003/0203988)                          |                       |  | NO                            |
|  | AQ | 0 209 879   | 01/28/87 | EP (equivalent of US 4680200)                               |                       |  | NO                            |
|  | AR | 0 392 352   | 10/17/90 | EP (equivalent of US 5135963)                               |                       |  | NO                            |
|  | AS | 0 990 732   | 04/05/00 | EP  |                       |  | NO                            |
|  | AT | 06-331594   | 12/02/94 | JP (English abstract & computer generated translation only) |                       |  | NO                            |
|  | AU | 06-335593   | 12/06/94 | JP (English abstract & computer generated translation only) |                       |  | NO                            |
|  | AV | 06-245359   | 09/02/94 | JP (English abstract & computer generated translation only) |                       |  | NO                            |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)  |    |   |          |   |                       |  |                               |
|  | AW | SMITH et al. "Ink Jet Printing for Textiles", Text. Chem. Color, vol. 19, no. 8, page 23-29<br>1987                   |          |   |                       |  |                               |
|  | AX | GRAHAM. "Ink Jet Systems for Dyeing and Printing of Textiles", Text. Chem. Color, vol. 21, no. 6, pages 27-32<br>1989 |          |   |                       |  |                               |
|  | AY | Ullmann's Encyclopedia of Industrial Chemistry, 5 <sup>th</sup> edition, vol. A26, pages 286-296<br>1996              |          |   |                       |  |                               |
|  | AZ | F. Stather, Gerbereichemie u. Gerereitechnologie, Akademie Verlag Berlin, pages 507-632<br>1967                       |          |   |                       | <input type="checkbox"/> Additional References sheet(s) attached |                               |
| Examiner   |    |   |          |   | Date Considered       |  |                               |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |   |          |   |                       |  |                               |